



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John F. Engelhardt et al.

Title: COMPOUNDS AND METHODS TO ENHANCE RAAV TRANSDUCTION

Docket No.: 875.074US1

Filed: March 31, 2004

Examiner: Unknown

Serial No.: 10/815,262

Due Date: August 21, 2004

Group Art Unit: 1636

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

We are transmitting herewith the attached:

- ☒ A return postcard.
- ☒ A check in the amount of \$65.00 to cover the small entity surcharge.
- ☒ A check in the amount of \$981.00 to cover the Basic Filing Fee and Additional Claims Fee.
- ☒ A check in the amount of \$490.00 to cover the Extension of Time Fee.
- ☒ Petition for Extension of Time (1 pg.).
- ☒ Communication Re: Missing Parts (1 pg.).
- ☒ A signed Combined Declaration and Power of Attorney (8 pgs.).
- ☒ Notice to File Missing Parts (2 pgs.).
- ☒ Communication Re: Corrected Application Papers (1 pg.).
- ☒ Formal Drawings (35 pgs.).

**Applicant claims small entity status under 37 C.F.R. 1.27.**

**Please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.**

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number: 21186

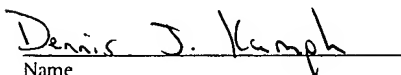
By: 

Name: Janet E. Embretson

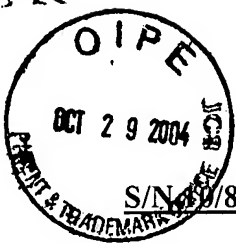
Reg. No. 39,665

JEE:CMG:djk

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 26 day of October, 2004

  
Name

  
Signature



S/N 10/815,262

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John F. Engelhardt et al.

Examiner: Unknown

Serial No.: 10/815,262

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Filed: March 31, 2004

Docket: 875.074US1

Customer No.: 21186

Confirmation No.: 7471

Title: COMPOUNDS AND METHODS TO ENHANCE RAAV TRANSDUCTION

COMMUNICATION RE: MISSING PARTS

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P.O. Box 1450  
Alexandria, VA 22313-1450

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the Combined Declaration and Power of Attorney, a check in the amount of \$65.00 to cover the Small entity surcharge, and a check in the amount of \$981.00 to cover the small entity basic filing fee and additional claims fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6959.

If necessary, please charge any additional fees or credit overpayment to Deposit Account 19-0743.

Respectfully submitted,  
John F. Engelhardt et al.

By their Representatives,  
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 373-6959

Date October 26, 2004

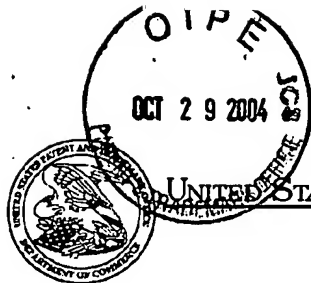
By [Signature]  
Janet E. Embretson  
Reg. No. 39,665  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/815,262	03/31/2004	John F. Engelhardt	875.074US1

Schwegman, Lundberg, Woessner & Kluth, P.A.  
 P.O. Box 2938  
 Minneapolis, MN 55402

CONFIRMATION NO. 7471

## FORMALITIES LETTER



\*OC000000013005083\*

Date Mailed: 06/21/2004

11/01/2004 WASFAW1 00000093 190743 10815262

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02 FC:2051	65.00 OP
03 FC:2001	395.00 OP
04 FC:2202	441.00 OP
05 FC:2203	5.00 DA 145.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(l) and (p)(1)); See Figure(s) 20A, 20B, 21.
  - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) 4, 6A, 6B, 7A-7C, 8.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$586** as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$1036** for a Small Entity

- **\$385** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$586**
  - **\$441** for **49** total claims over 20.
  - **\$145** for multiple dependent claim surcharge.

Replies should be mailed to:    Mail Stop Missing Parts  
   Commissioner for Patents  
   P.O. Box 1450  
   Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

*ROKUNO*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE